FORM PTO-1390 (REV . 07-2005) ILS DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFF

EXPRESS MAIL NUMBER

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EV 642 788 038 US

PRIORITY DATE CLAIMED

36048/US/2-475396-00173

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A

SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/579841

INTERNATIONAL APPLICATION NO.
PCT/US2004/038413

INTERNATIONAL FILING DATE
November 16, 2004

November 17, 2003

TITLE OF INVENTION

SYSTEM, METHOD AND SOFTWARE ARRANGEMENT UTILIZING A MULTI-STRIP PROCEDURE THAT CAN BE APPLIED TO GENE CHARACTERIZATION USING DNA-ARRAY DATA

APPLICANT(S) FOR DO/EO/US

| | | LERMAN, JOSEPH MCQUOWN and BUD MISHRA | | | | | | |
|--|---|---|--|--|--|--|--|--|
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other | | | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | |
| 3. | \boxtimes | This express request to begin national examination procedures (35 U.S.C. 371(f). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | |
| 4. | \boxtimes | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| | a. | is attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | b. | has been transmitted by the International Bureau. | | | | | | |
| | c. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. | | An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| | a. | is attached hereto. | | | | | | |
| | b. | has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | ⊠ a. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau). | | | | | | |
| | b. | had been communicated by the International Bureau. | | | | | | |
| | c. | have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| | d. | A have not been made and will not be made. | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 | | | | | | |
| Item | Items 11 to 20. below concern document(s) or information included: | | | | | | | |
| 11. | \boxtimes | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. | \boxtimes | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included | | | | | | |
| 13. | | A preliminary amendment. | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| 15. | | A substitute specification. | | | | | | |
| 16. | \boxtimes | A power of attorney and/or change of address letter. | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825 | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4) | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| 20. | \boxtimes | Other items or information: PTO-1449 Form, PCT International Search Report, PCT International Preliminary | | | | | | |
| | Written Opinion, International Publication No. WO 2005/050391 and Return Receipt Postcard | | | | | | | |

| 10Mil 10-1390 (KEV. 7- | 2003) | | 1 age 2 01 3 | | | | | |
|---|-----------------|-----------------|-------------------------|---------------|-----------------------------|------------------------|-----------------------------|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) INTERNAT | | | | | TERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | |
| -18 | be assigned | 017 | PCT/U | JS200 | 4/038413 | 36048/US/2-4 | 75396-00173 | |
| 21. The following | fees have bee | n submitted: | | | | CALCULATIONS | PTO USE ONLY | |
| 🛛 a) Basic | national fee (3 | 37 CFR 1.492(a) |) | • • • • • • • | . \$300.00 | \$ 300 | | |
| 22. Examination fee (37 CFR 492(c)) | | | | | | | | |
| If the written opinion | | | | | | | | |
| examination report provisions of PCT | | | | | | | | |
| All other situations | | | | | \$0.00 \$200.00 | \$ 200 | | |
| 23. Search fe | | | | ••••• | \$200.00 | \$200 | | |
| If the written opinion | | | | | | | | |
| examination report | rt prepared by | IPEA/US indica | ates all claims satisfy | , | | | | |
| provisions of PCT | | | | | \$0.00 | | | |
| Search fee (37 CFR 1. | | | | | | | | |
| the USPTO as an International Searching Authority | | | | | | | | |
| All other situations | | - | | | \$500.00 | \$ 100 | | |
| All outer studitons | | OF 21, 22 and | | ••••• | \$300.00 | \$ 600 | | |
| Additional fee fo | | | | sheet | s (excluding | 3 000 | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) | | | | RATE | | | | |
| - 100 | /50 = | | | | x \$250.00 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | |
| CLAIMS | | | IUMBER EXTRA | | RATE | | | |
| Total claims | 42 - | -20 = | 22 | | x \$50.00 | \$ 1,100 | | |
| Independent claims 4-3 = | | 4-3 = | 1 | 3 | x \$200.00 | \$ 200 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 | | | | | | | | |
| | | | TOTAL OF ABOVE | E CAI | LCULATIONS = | \$ 1,900 | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | \$ | | |
| SUBTOTAL = | | | | | | \$ 950 | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ | | |
| TOTAL NATIONAL FEE = | | | | | | \$ | | |
| Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ 40 | | |
| TOTAL FEES ENCLOSED = | | | | | | | | |
| | | | | | | Amount to be refunded: | \$ | |
| | | | | | | charged: | \$ | |
| FORM PTO-1390 (REV. 7- | 2005) | | Page 2 of 3 | | | | | |

IAP9 Rec'd PCT/PTO 16 MAY 2006

| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5 | INTERNATIONAL APPLICATION | | | | | | | |
|---|---------------------------------|-----------------------------|--|--|--|--|--|--|
| ты Омер 279811 | | NUMBER | | | | | | |
| Tolbe Assigned 701 - | PCT/US2004/03841 | 3 36048/US/2-475396-00173 | | | | | | |
| a. A check in the amount \$990 of | to cover the above fees is encl | osed. | | | | | | |
| b. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. | 50-2054 in the amount of | \$ to cover the above fees. | | | | | | |
| The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2054 A duplicate coy of this sheet is enclosed. | | | | | | | | |
| Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: CUSTOMER NUMBER 30873 | | | | | | | | |
| Dorsey & Whitney LLP | 2 2 | | | | | | | |
| Intellectual Property Department | | | | | | | | |
| 250 Park Avenue — | Signature | <u> </u> | | | | | | |
| New York, New York 10177 | Gary Abelev, Esq. | Date May 16, 2006 | | | | | | |
| | T. | | | | | | | |
| | Name | | | | | | | |
| | 40,479 | | | | | | | |
| | Registration Number | | | | | | | |
| FORM PTO-1390 (REV. 7-2005) | Page 3 of 3 | | | | | | | |

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